

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

HANNIGAN, Raymond R.

et al

Serial No.:09/937,937

Filed:

October 2, 2001

For: VACUUM ASSISTED **CLOSURE SYSTEM WITH HEATING AND COOLING PROVISION**

Examiner: LEWIS, Kim M.

Group Art Unit: 3743

Attorney Docket No.: VAC.482.US

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

18 February 2005

Date

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Susan Love

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir or Madam:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 **Second Supplemental Statement**

In compliance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicants are submitting herewith a Form PTO/SB/08A and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of the application. This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(c)(2). The Commissioner is authorized to charge the required fees to deposit account no. 500326.

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Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

Robert W. Mason Reg. No. 42,848

Attorney for the Applicant

Telephone: (210) 255-6271 Facsimile: (210) 255-6969

Enclosures: Form PTO/SB/08A (6 pages)

Copies of non-US Patent references listed on Form PTO/SB/08A

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/937,937 **Application Number** RANSMITTAL Filing Date October 2, 2001 For FY 2005 First Named Inventor HANNIGAN, et al LEWIS, Kim M. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3743 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. VAC.482.US METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: <u>500326</u> Deposit Account Name: Kinetic Concepts, Inc. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity Small Entity Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 250 300 200 Provisional 100 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee (\$) Fee Paid (\$) Multiple Dependent Claims - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3.

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Extra Sheets **Total Sheets** Number of each additional 50 or fraction thereof - 100 = (round up to a whole number) x

4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late surcharge fee for filing Supplemental IDS

SUBMITTED BY Registration No. 42,848 Telephone 210 255 6271 Signature (Attorney/Agent) Name (Print/Type) Robert W. Mason Date 2

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Under the Paperwork Reduction Act of 1995 TRANSMITTAL FORM (to be used for all correspondence after initial into the Number of Pages in This Submission	Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	Approved for use through 07/31/2006. OMB 0651-0031 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number 09/937,937 October 2, 2001 HANNIGAN, et al 3743 LEWIS, Kim M. VAC.482.US
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence of Co	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Information Disclosure Statement Under 37 CFR §1.97 with copies of foreign patents and non patent literature documents Acknowledgment Postcard
Firm Name Kinetic Concepts, Inc.	TURE OF APPLICANT, ATTO	DRNEY, OR AGENT
I hereby certify that this correspondence is be	ERTIFICATE OF TRANSMISS eing facsimile transmitted to the USPT	Reg. No. 42,848 SION/MAILING TO or deposited with the United States Postal Service with or Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of |6

Application Number	09/937,937	
Filing Date	October 2, 2001	
First Named Inventor	HANNIGAN, et al	
Art Unit	3743	
Examiner Name	LEWIS, Kim M.	

Attorney Docket Number VAC.482.US

Complete if Known

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (ff known)}			Figures Appear
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	FORE	IGN PATENT DOCL	JMENTS		
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
 ĺ	AU-550575-A1	08-26-1982	Wright	P.4, lines 7-10	
	EP-0100148	02- 1984	Naylor, et al	Abstract	
	WO 96/05873	02-29-1996	Lina		
	WO 97/18007	05-22-1997	Hunt		
	EP-0161865	11-21-1985	Ward		
	EP-0117632-A2	01-1984	JMcCracken		П

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Sheet 2 of 6

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Complete if Known				
Application Number	09/937,937	_		
Filing Date	October 2, 2001			
First Named Inventor	HANNIGAN, et al			
Art Unit	3743			
Examiner Name	LEWIS, Kim M.			
Attorney Docket Number	VAC.482.US			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т ⁶
	PCT/GB98/02713	09-09-1998	KCI Medical Ltd		
	AU-745271	03-14-2002	KCI Medical Ltd		
	SG-71559	04-16-2002	KCI Medical Ltd		
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	AU-755496	12-12-2002	KCI Medical Ltd		

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Filing Date	October 2, 2001	
First Named Inventor	HANNIGAN, et al	
Art Unit	3743	-
Examiner Name	LEWIS, Kim M.	
Attorney Docket Number	VAC 482 LIS	

			U. S. PATENT D	OCUMENTS	
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		Country Code ³ Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Т
		GB-692,578	06-10-1953	Minnesota Min		
		WO 80/02182	10-16-1980	Moss, James		
		WO/94/20041	09-15-1994	WFU/Argenta, L.		
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		DE-43 06 478 A1	09-08-1994	Wagner, W.		
		CA-2005436	06-30-1990	Kalt. Glenda G.		

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Art Unit	3743	
Examiner Name	LEWIS, Kim M.	
Attorney Docket Number	VAC 482 US	

Sheet 4 16 of

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY	,,	Or Relevant Figures Appear	Т
		WO 93/09727	05-27-1993	Wake Forest Un.		
		GB-2 197 789 A	06-02-1988	Smith Industrie		
		EP-0358 302	03-14-1990	Smith Industrie		
		DE 26 40 413 A1	03 09 1978	Wolf, Richard		
		EP 1 018 967B1	08-18-2004	Heaton, Keith		Γ

Examiner	Date	
Signature	Considered	
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First Named Inventor HANNIGAN, et al
Art Unit 3743

Examiner Name LEWIS, Kim M.

Attorney Docket Number VAC.482.US

(Use as many sheets as necessary)
Sheet 5 of 6

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			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	 	US- 5, 407, 600	00.04.4005	Carian Isan Disan	
	ļ. <u></u>	^{US-} 5,437,622	08-01-1995	Carion, Jean-Pierre	
		^{US-} 6,345,623	02-12-2002	Heaton, K., et al	
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		FORE	IGN PATENT DOCU	MENTS	-	
Examiner Initials*	1 1 4	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	, pp. com or once Desament	Or Relevant Figures Appear	Т
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APPLICANT **Application Number** 09/937,937 Filing Date

of 6

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Sheet

16

I ming bate	October 2, 2001	
First Named Inventor	HANNIGAN, et al	
Art Unit	3743	
Examiner Name	LEWIS, Kim M.	
Attorney Docket Number	VAC 482 US	

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		International Search Report for PCT international application PCT/GB95/01983; November 23, 1995	
		Patent Abstract of Japan; JP4129536; Terumo Corporation; April 30, 1992	
		PCT International Search Report; PCT international application PCT/GB98/02713; June 8, 1999	
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		PCT Written Opinion, PCT international application PCT/GB96/02802; September 3, 1997	

Examiner	Date	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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